

Thank you for your interest in allowing us to assist you in your financial goals. Please complete the following checklist so that we can better address your financial concerns prior to our initial consultation.

Personal Information

First Name Jonathan

Spouse Name Joan

Last Name Smith

Last Name Smith

Nickname John

Nickname _____

Date of Birth 05 / 20 / 1950

Date of Birth 08 / 10 / 1964

Phone(210) 123-4567

Phone(_____) _____

Email johnsmith834@gmail.com

Email joansmith834@gmail.com

Dependents/Children

Name John Jr

Age 34

Name Joan Jr

Age 30

Name _____

Age _____

Financial Goals

What financial concerns cause you to seek financial planning assistance?

Want to know if and when we can retire. Too many decisions and confusing information about our options.

When do you want to retire? 65-67

What are your major financial goals? We would like to have a second home on the coast, travel, and become more involved in causes which are important to us.

Do you have any special circumstances which worry you? Not sure

Estate Planning - Do you have any of the following:

A current will? Yes When was it signed? 1990

Durable Power of Attorney: No Medical Power of Attorney: No

Living Will/Medical Directive: No

Trust? If so what kind(s)? N/A

Phone: 210.918.8998

17300 Henderson Pass, Suite 240

Fax: 210.344.4362

San Antonio, TX 78232

E-mail: advisor@teamoliver.com

www.fladvisors.com

You may fax this form to (210) 344-4362 or email to advisor@teamoliver.com

Risk Management

Do you have blanket ("umbrella") liability insurance? No
Do you have disability insurance? Yes Through work? Yes
Do you have life insurance? Yes How much? John 300k, Joan 100k
What kind(s)? John has term, Joan has whole life
Do you have long-term care insurance? No

Financial Status

Annual Household Income

 \$75,000 and under \$75,000 - \$100,000 ✓ \$100,000 - 250,000
 \$250,000 - \$500,000 \$500,000 - \$1,000,000 \$1,000,000 and up

Approximate Net Worth (*net worth = total assets (not including home and automobile) less total debts)
 \$ 1,500,000

Have you filed for Social Security? No If so, at what age(s)?
Are you eligible for any pensions? Yes, John is.
If so, how much and when are you eligible? At age 65, 40% of pre-retirement salary

Asset & Debt Information

Asset Type (Combined)	Current Value	Asset Type (Combined)	Current Value
Cash (Money Mkt/Savings)	<u>\$ 80,000</u>	Retirement Accounts	<u>\$ 850,000</u>
Non-Qualified Stocks	<u>\$ 350,000</u>	Business Interests	<u>\$</u>
Non-Qualified Bonds	<u>\$</u>	College Savings	<u>\$</u>
Annuities	<u>\$ 220,000</u>	Valuable Personal Property	<u>\$</u>
	<u>\$</u>		<u>\$</u>

Debts	Current Value	Loan Amount	Interest Rate	Payment
Personal Residence	<u>\$ 450,000</u>	<u>\$ 100,000</u>	<u>4.5 %</u>	<u>\$ 1,100</u>
2012 BMW	<u>\$ 25,000</u>	<u>\$ 10,000</u>	<u>0 %</u>	<u>\$ 600</u>
2010 Lexus	<u>\$ 20,000</u>	<u>\$ 0</u>	<u>%</u>	<u>\$</u>
Student Loans	<u>\$</u>	<u>\$</u>	<u>%</u>	<u>\$</u>
	<u>\$</u>	<u>\$</u>	<u>%</u>	<u>\$</u>
	<u>\$</u>	<u>\$</u>	<u>%</u>	<u>\$</u>

Were you referred by someone? No
If not, how did you hear about us? NAPFA - Fee Only Network
Today's Date 04 / 10 / 2015

Additional information that may be needed. It is recommended to bring this information if readily available:

- Copy of prior year tax return
- Bank statements and/or financial portfolio
- Insurance policies
- Social Security and/or pension statements
- Copy of valid driver's license
- Estate planning documents
(will, trusts, etc, if applicable)